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Docket No. PRD-2019

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nicholas I. Carruthers et al.

Serial No.: 10/734,800

Art Unit:

Filed

: December 12, 2003

Examiner:

For

: SUBSTITUTED 4-PHENYL-1[1,3]-DIOXANES

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

(Date of Deposit)

John W. Harbour
(Name of applicant, aspignee, of Registered Representative)

They will a

(0)3/0 (Date of Signature)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure Statement mailed on March 25, 2004.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §\$1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this

information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information  $\square$ Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required. In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with [] the first or Second After Final Submission, therefore: Statement in Accordance with §1.97(e) (attached); or  $\Box$ Please charge Deposit Account No. 10the fee of \$180.00 as set forth 0750/ / in §1.17(p). In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

		Statement in Accordance with §1.97(e)
		(attached); or
		Please charge Deposit Account No. 10-
		0750/ / the fee of \$180.00 as set forth
		in §1.17(p).
	_	
	<del></del>	accordance with §1.97(d), this Information
		tement is being filed after the mailing date of
•		Action under §1.113 or a Notice of Allowance
		but before the payment of the Issue Fee.
•		ereby petition(s) for consideration of this
		sclosure Statement. Included are: Statement in
		th §1.97(e) as set forth below and the fee of
-	\$180.00 as set	forth in §1.17(p).
		les of each of the references listed on the
•		PTO-1449 are enclosed herewith.
	Cop:	es of references listed on the attached Form PTO-
	1449 are enclo	sed herewith EXCEPT THAT:
		In view of the voluminous nature of references
·		[list as appropriate], and the likelihood that
		these references are available to the Examiner,
		copies are not enclosed herewith.
		If any of the foregoing publications are not
		available to the Examiner, Applicant will
		endeavor to supply copies at the Examiner's
		request.
	Cop:	es of only foreign patent documents and non-
	patent literat	ure are enclosed in accordance with 37 CFR 1.98
	(a) (2). (The	U.S. patents and each U.S. patent application
	publication li	sted on the attached Form PTO-1449 are not

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enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i).

 $oxed{oxed}$  There are no listed references which are not in the English language.

The relevance of those listed references which are not in the English language is as follows:

Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Copies of the references listed on the search report(s) are included except for those previously cited in an IDS mailed .

Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/PRD-2019/JWH. This form is submitted in triplicate.

Respectfully submitted,

John W. Harbour Reg. No. 31,365

Attorney for Applicants

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DATED: / / \_ / \_ (

JUN 0 1 2004 ES

# SUBMISSION UNDER MPEP 609 D

Page 1 of 1

	Application Number	10/734,800	
	Filing Date	December 12, 2003	
	First Named Inventor	Nicholas I.	
P 609 D		Carruthers et al.	
	Group Art Unit		
	Examiner Name		
	Attorney Docket Number	PRD-2019	
U.S. PATENT	DOCUMENTS		

#### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite	Name of Patentee or Applicant of Cited Document	Foreign P	Patent Docum	ent KindCode <sup>5</sup>	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
	No. <sup>1</sup>		-	T	Tanacoae	орроз.	
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	<u>'                                    </u>	OTHER PRIOR ART - N				· · · · · · · · · · · · · · · · · · ·	
Examiner 's Initials*	No.1 volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>			
		PCT International Search Report, dated	d May 12, 20	004, for PCT I	nt'l. Appln. No.	PCT/US03/40136	
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Examiner	Date	
Signature	 Considered	

PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 1

a collection of information unless it displays a valid OMB control number.				
Application Number	10/734,800			
Filing Date	December 12, 2003			
First Named Inventor	Nicholas I. Carruthers et al.			
Group Art Unit				
Examiner Name				
Attorney Docket Number	PRD-2019			
	PRD-2019			

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		MORIE, Toshiya et al., "Convenient Synthesis of N-(2, 2-Dimethyl-1,3-Dioxan-5-YL)1H-Indazol E-3-Carboxamide", Synthetic Communications, Vol. 27, No. 4, pp. 559-66, 1997	
		COMGENEX PRODUCT LIST, Database Chemcats 'Online!, Chemical Abstracts Service, Order Nos. CGX-0377921, CGX-0377920, CGX-0145248Columbus, Ohio	
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			<del> </del>

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

<sup>1</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.